



For the future you want

AUDIT & RISK ASSURANCE COMMITTEE

AGENDA

A meeting of the Audit & Risk Assurance Committee will be held at 15:00 hours on Wednesday, 10 October 2018 in the Boardroom, Milton Road Campus.

		Lead Speaker	Paper
1	WELCOME & APOLOGIES	Chair	
2	DECLARATIONS OF INTEREST	Chair	
3	MINUTES OF PREVIOUS MEETING <i>for approval</i>	Chair	A
4	MATTERS ARISING		
	4.1 Matters Arising Report	Chair	B
	4.2 Review of Committee Operation 2017/18	Chair	C
	4.3 Scottish Government Audit and Assurance Committee Handbook	Scott-Moncrieff	D
5	TERMS OF REFERENCE	Chair	E
6	INTERNAL AUDIT		
	6.1 Summary of Audit Recommendations	A Williamson	F
	6.2 Internal Audit Report: Workforce Management	Scott-Moncrieff	G
	6.3 Internal Audit Report: Business Continuity Planning	Scott-Moncrieff	H
	6.4 Internal Audit Follow-up Report 2017/18	Scott-Moncrieff	I
	6.5 Internal Audit Annual Report 2017/18	Scott-Moncrieff	J
	6.6 Internal Audit Plan 2018/19 <i>for approval</i>	BDO	K
7	EXTERNAL AUDIT		
	7.1 Management Report 2017/18	Audit Scotland	L
	7.2 Compliance with the Code of Good Governance	N Croft	M
	7.3 Draft Annual Report and Financial Statement	L Towns	N
8	RISK ASSURANCE		
	8.1 Risk Management Report	N Croft	O
	8.2 Safeguarding Report	J Pearson	P

		M Hoenigmann	
	8.3	Lothian Pensions Report	L Towns
	8.4	Cyber Security Essentials Update	A Williamson
9		ANNUAL COMPLAINTS REPORT	K Heathcote
10		HORIZON SCANNING REPORT	N Croft
11		ANY OTHER COMPETENT BUSINESS	
12		FOR INFORMATION	
	12.1	Data Breach Incident Update	N Croft
13		DATE OF NEXT MEETING: 21 November 2018	

N.B: The minutes of the Audit & Risk Assurance Committee are reported directly to the Board of Management, with an accompany commentary from the Committee Chair.